# Contracts for Difference Allocation Round 4: Application Guidance

Guidance by the EMR Delivery Body for Allocation Round 4

Final Version 1.1

November 2021



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Capitalised terms used in this document shall have the same meanings given in the Contracts for Difference (Allocation) Regulations or the Allocation Framework, Schedule 1.

Please note that the rules outlined in Contracts for Difference (Allocation) Regulation and the Allocation Framework for AR4 take precedence over this guidance document and participants are encouraged to familiarise themselves with these rules, and if appropriate seek legal advice, before proceeding with an application.

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### Version control



The table below will record the page numbers of any new changes to the document for each version. New general changes are highlighted in <mark>yellow throughout the guidance document. Any changes updated due to policy changes are highlighted in baby blue</mark>.

#	Changes	Pages	Date
1.0	Original draft for customer comment	-	01/11/2021
1.1	Final Version	-	25/11/2021



### Introduction



- National Grid Electricity System Operator Limited (NG ESO) is the Delivery Body for Electricity Market Reform (EMR). Part of this role includes administering the qualification process and running the allocation of Contracts for Difference (CfD), in accordance with the CfD legislative framework and Department for Business, Energy and Industrial Strategy (BEIS) documents, specific to each CfD Allocation round.
- This document is part of a suite of guidance documents prepared by NG ESO which is to be used in conjunction with the relevant <u>Allocation</u> <u>Framework</u>. It is designed to assist you with the technical aspects of completing the application form and the subsequent allocation processes.
- There is a feedback form attached to this document (in the top right-hand corner of this document). Please use the feedback form to provide feedback on this guidance document.
- This guidance document outlines the pre-application activities that Applicants are required to complete, prior to submitting a full application. However, you will still need to familiarise yourself with the <u>Allocation Framework</u> and eligibility requirements of the scheme when applying for a CfD, as this guidance is a supplementary document and is not an exhaustive list of the rules and eligibility requirements.
- A general overview of the CfD mechanism and Delivery Partners can be found <u>here</u> on our combined website.
- The Contracts for Difference Delivery Partners are:

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Department for Business, Energy & Industrial Strategy





For general enquiries or support in using this guidance, please contact us via:

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### How to use this guide

Our guidance documents have been designed to walk prospective applicants through the application process in a clear, simple and transparent format.







### Contents



<b>1.0 Completing the Application Form</b>	<u>3.0 Reviews</u>	
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# 1.0 Completing the Application Form

This section contains information on how to create your Application in the EMR DB Portal.

- 1.1 1.3 covers the Application requirements, applicable to all Technology Types.
- 1.4 covers information that is specific to certain technology types.
- 1.5 covers the next steps of the Application process, once the Application Window has closed.

It should be used in conjunction with Pre-Application activities Guidance document and Section 2.0 (Qualification) of this guidance document.

Select from the buttons opposite to find out more.

1.1 Application overview & demonstration

1.2 Application (generic) – system view

1.3 Application (generic) checklist

1.4 Application – Additional Technology Information

**1.5 Application next steps** 

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### 1.0 Completing the Application Form

**Delivery Body Portal System Requirements** 



1. Pre-Application activities

**5.** Appeals

### 1.1 Application – Demonstration overview

Once registered, Applicants can create an Application following the guidance within this document. Each applicant needs to ensure they complete their application in accordance with the CfD Regulations and the Allocation Framework. To see a demonstration overview, refer to the Allocation Round 4 Application Video below.



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To complete a Contracts for Difference application, go to the <u>EMR Portal Home</u> and sign in using your username, password and pin as provided following successful company registration.





Once logged in, go to the 'My EMR' section of the Portal. Select the 'Applications' button and then select 'Create New Application' on the next screen to create an Application.





Once 'Create New application' has been selected, the following fields will appear:

1. Select your Company from the drop down menu and then confirm the Company.

3. Select Round 4 from the drop down menu and confirm create.

Step 1: Please confirm the company for which you wish to create an application for. CfD Test
CfD Test
I confirm this is my company
,
□ I confirm this is the correct Technology Type Step 3: Confirm the Round for which
you'd like to create an application for:
✓
I confirm I wish to create an Application for this round
Create Cancel

2.Select the Technology Type from the drop down menu and select the box to confirm the Technology Type.

NB: This is a dynamic application form. Questions will be available dependent upon the Technology selected and the answers provided.

4.Tick the box to confirm you wish to create an application and select create.

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At the top of the Application form screen, you will see the following buttons:



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7. Sealed Bids



Delete

Cancel

Once you have completed the "Create new applications window", a blank application form will appear with 9 tabs. The first one pre-selected is "General".

### Create new Application

Withdraw

Save

Print

(\*) Questions marked with an asterisk are mandatory. All other questions are non-mandatory but are used to support the assessment.



Submit





The Application form is split up into 9 tabs (see below). Select from the buttons below to see the system view of each section of the Application form:

1. General	2. Incorporation	3. CfD Unit Details
4. Cross subsidy check	5. Applicable planning consents	6. Connection Agreement(s)
7. CfD Contract	8. Supply Chain Plan	9. Declarations





#### 1. General tab

New A: Does any part of your CfD Unit overlap with any of the excluded sites to which a temporary site exclusion applies (as held by the Low Carbon Contracts Company)? (\*)

Yes O No

New B: Please provide a copy of your Exemption Certificate (\*)

Browse...

New C: Please select that your CfD Unit is located in Great Britain? (\*)

Great Britain

#### A9: Technology Type (\*)

Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

|

: Technology Type (*) part from Offshore Wind, technologie	es can only have a single phase and	are therefore identified as Phase 1 in this application 1
Officience Wind		
Offshore Wind	×	

If 'Yes' is selected in New A, New B will appear and you will be required to upload your exemption certificate.

Great Britain will appear in this field as default.

The technology selected on the previous page will appear here.

NB: All technologies have a single phase unless they are Offshore wind (fixed bottom only). Where Offshore Wind is chosen A10 will appear and you can select a maximum of 3 phases.

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#### 2. Incorporation tab

The Incorporation tab is split into four sections: **Company/Applicant details**, **Agent details** (where applicable), **phase details** and **VAT details**.

The Company/Applicant details section can be seen below. Select the buttons below to see the system view for each type:

#### A2: Is the Application being made on behalf of a Company? (\*)

Note that an Unincorporated Joint Venture is not a Company for the purposes of this question, If you are an Unincorporated Joint Venture , please answer "No" to this question.

⊖Yes⊖No

A2: Yes, the Application is on behalf of a company

A2: No, the Application is on behalf of an organisation

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**2.** Incorporation tab - Company details (1/2)

- Company Details

A3: Company Name (\*)

CfD Test

1

A3a: Company Registered Address Line 1 (\*)

A3b: Company Registered Address Line 2

A3c: Company Registered Address Line 3

These details are completed as default from the company details provided at Registration.

A3d: City

A3e: Postcode (\*)

A3f: Country in which Company is located (\*)

United Kingdom

Sealed Bids

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 $\checkmark$ 



#### 2. Incorporation tab – Company details (2/2)

A3g: Region (England, Wales, Scotland or Northern Ireland) where Company is located (*) -	If Northern Ireland is selected from the dropdown, an <u>agent section</u> will appear
A3h: Email address of preferred contact (*)	that will need to be completed.
A3i: Landline of authorised contact to be in format "+[2 digit country code] [NUMBER without le	ading 0]"
A3j: Mobile Number of authorised contact to be in the format "+[2 digit country code] [NUMBER	without leading 0]" (*)
A3k: Fax Number of authorised contact to be in the format "+[2 digit country code] [NUMBER with the format "+[2 digit country code] [NU	ithout leading 0]"
A4: Company Registration Number (*)	
A4a: Please upload a copy of your Certificate of Incorporation (*) Browse	
A4b: Should your Certificate of Incorporation require any clarification, please provide it here	



#### 2. Incorporation tab – Applicant details (other than Company/Corporate Body) (1/2)

#### - Applicant Details (where not a Company/Corporate Body)

A6: Do you have a Legal Personality that will allow you to enter into the CfD Contract? (\*)

(Legal personality means to be capable of having legal rights and duties within a certain legal system such as to enter into contracts)

○ Yes ○ No           A7: Name of Applicant (*)           CfD Test	1		lf v wi Ur	you are not a registered of Il be asked to complete A nincorporated Joint Ventu	company you 6 and New CO. ures will need
A7a: Address Line 1 (*)			to	provide supporting docu	mentation.
A7b: Address Line 2 A7c: Address Line 3	These details are completed as default from the company details provided at	New C0: Are you an Unincor Yes O No New C1: Please provide a pd Bro	oorated Joint Venture? (*) f setting out name, address and cor wse	ntact details of the parties involved in the	e Unincorporated Joint Venture
<b>A7d: City</b>	Registration.				
A7e: Postcode (*)					
1. Pre-Application activities 2. Applic	cation <b>3. Qualification</b>	4. Reviews 5. Appeals	6. Valuation 7.	Sealed Bids 8. Allocation	9. Notification



#### 2. Incorporation tab – Applicant details (other than Company/Corporate Body) (2/2)



#### 2. Incorporation tab – Agent details (1/2)

Only applicable if Northern Ireland is selected as the region.

- Agent Details

A8: Name of Agent (\*)

(Agent is to provide the powers of the contract to take effect as the legal powers wouldn't be able to be enforced outside their jurisdiction and so the Applicant would need someone within GB to apply on their behalf)



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#### 2. Incorporation tab – Agent details (2/2)

Only applicable if Northern Ireland is selected as the region.





#### 2. Incorporation tab – Phase details (1/4)

#### - Phases Details

A11: Will Applicant identified above be the Company/Person entering into CfD Contract for Phase 1? (\*) O Yes O No

2. Application

A12: Name of Company/Person (*) A12a: Address Line 1 (*) A12b: Address Line 2	This needs to be the Company registered address if a Company	NB: All technologies have a single phase unless they are Offshore wind (fixed bottom only), where they can have a
A12c: Address Line 3		maximum of 3. This must be specified in
A12d: City		A10 on the General tab.
A12e: Postcode (*)		

New C: Please select that your CfD Unit is located in Great Britain? (\*)

Great	Britain	✓
	/	

A9: Technology Type (\*) Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

#### A10: Number of CfD Phases (\*)





#### 2. Incorporation tab – Phase details (2/4)

- Phases Details

A11: Will Applicant identified above be the Company/Person entering into CfD Contract for Phase 1? (\*) O Yes O No







A12f: Country (*)	-	Country in which Company/Person is located
A12h: Email address (*)		
A12i: Landline		to be in the format "+[2 digit country code] [NUMBER without leading 0]"
A12j: Mobile Number (*)		to be in the format "+[2 digit country code] [NUMBER without leading 0]"
A12k: Fax Number		to be in the format "+[2 digit country code] [NUMBER without leading 0]"
A12I: Company Registration Number (*)		

New D: Will the Applicant identified above be the Company/Person to whom notices under the CfD Contract be addressed for Phase 1? (\*) O Yes O No

 

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#### 2. Incorporation tab – Phase details (4/4)





#### 2. Incorporation tab – VAT details

#### - VAT Details

#### A20: Are you registered for Tax in your host country (e.g. VAT registered in UK)? (\*)

It is not mandatory to be registered for tax in your host country but if you select Yes here, you will need to provide VAT Registration Number or equivalent and upload VAT Certificate of Registration/Tax Certificate

A21: VAT Registration Number (\*)

(VAT Registration Number to be 9 digits long)

A21a: Please upload a copy of your VAT Certificate of Registration (\*) Browse...

A21b: Should your Registration documentation require any clarification, please provide it here

If 'Yes' is selected, the following fields will appear and your VAT certificate of registration needs to be uploaded.

NB: Group VAT Certificate can be uploaded, but you will need to demonstrate that the subsidiary company is part of the parent company. i.e. a letter signed and dated by a current director.

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#### 3. CfD Unit details tab (1/7)

Pleas complete the details of the specific CfD Unit, you are applying for.

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#### 3. CfD Unit details tab (2/7)

2. Application

B2: Ordnance Survey Map Reference(s) to centre of site where CfD Unit (Phase 1) is located (centre of nearest onshore substation if Offshore Wind, Hydroelectric Locational information is requested. An Power, Wave or Tidal Stream) and must be in the following format: address example is shown on the next 1st Letter will be N, T, S or H, 2nd Letter one of A (North West corner) to Z (South East corner) except I, 6 Numbers (3 digits for each Eastings and Northings - i.e. page. within each square how far from the SW corner of the square (\*) p3: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*) B3a: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Northeriv extreme coordinate of site where CfD Unit (Phase 1) is located (\*) B3e: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Southerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*) B3b: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Easterly extreme coordinat B3f: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Westerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*) B3c: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Easterly extreme coordin B3g: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Westerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*) B3d: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Southerly extreme coordinates New G: Please provide a map showing scale, shape of CfD Unit and identify Longitude and Latitude (in WGS84 format to 3 decimal places) of Northerly, Easterly, Southerly and Westerly extreme coordinates of site where CfD Unit (Phase 1) is located (\*) Browse .... B10: Is this Phase 1 CfD Application for Established (New) or Altered (Additional) capacity? (\*) Remember to upload a map of Applicant should refer to Eligibility Regulations Clause 3, Part 5(a) and (b) for definitions of established and altered the CfD Unit. Y B11: Provisional Capacity Estimate (Gross capacity minus parasitic loads minus electrical losses) for the CfD Unit Phase 1 (in MW to 2 decimal places) For Altered(additional) capacity, only state the additional MW If Offshore and >1 Phase, then Provisional Capacity Estimate for the CfD Unit Phase 1 must be >= 25% of the sum of Initial Installed Capacity Estimates for all phases (\*)

Sealed Bids



#### 3. CfD Unit details tab (3/7)

The Applicant will be asked to declare that the CfD Unit is not in receipt of subsidies for the CM, CfD, NFFO, SRO (see section 2.1.2 below).

The Delivery Body checks the location of the CfD unit details against public data, to identify the shape and boundary of the site and to ensure that the CfD Unit being applied for is not already in receipt of these subsidies.

An Example of Address references cells populated

B2: Ordnance Survey Map Reference(s) to centre of site where CfD Unit (Phase 1) is located (centre of neares Power, Wave or Tidal Stream) and must be in the following format:

 1st Letter will be N, T, S or H, 2nd Letter one of A (North West corner) to Z (South East corner) except I, 6 Num
 Park. Warwick. Warwickshire. West

 within each square how far from the SW corner of the square) (\*)
 Postcode (nearest) :

SP296640

B3: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

52.273

B3a: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

-1.567

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Grid Reference

Grid Reference (6 figure)

SP296640

52.273361

Address (near) :

CV34 6DA

X (Easting) :

429600

Latitude :

SP 29600 64000

Y (Northing) :

Longitude :

National Grid House, Warwick Technology

264000

-1.5676159

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### 1.2 Application – system view



#### 3. CfD Unit details tab – Remote Island Wind Applicants ONLY (4/7)

For all other technologies please continue to the next page

For applicants choosing Remote Island Wind (RIW) there is an additional question titled, B10. It is a requirement to upload a schematic diagram demonstrating that the RIW condition in Reg. 27A (3) (d) has been met.

New G: Please provide a map showing scale, shape of CfD Unit and identify Longitude and Latitude (in WGS84 format to 3 decimal places) of Northerly, Easterly, Southerly and Westerly extreme coordinates of site where CfD Unit (Phase 1) is located (\*) Browse... New B10: Please upload evidence demonstrating that the relevant CfD Unit is expected, as at the Target Commissioning Date, to satisfy the Remote Island Wind condition set out at Regulation 27A(3)(d) of the Contracts for Difference (Allocation) Regulations 2014 (as may be amended or modified by the Allocation Framework)

(\*)

Browse...

B10: Is this Phase 1 CfD Application for Established (New) or Altered (Additional) capacity? (\*) Applicant should refer to Eligibility Regulations Clause 3, Part 5(a) and (b) for definitions of established and altered

#### For more information on RIW see here





#### 3. CfD Unit details tab – Floating Offshore Wind ONLY (5/7)

For all other technologies please continue to the next page

For applicants choosing Floating Offshore Wind (FOW) there are additional questions titled, New G(i), New G(ii) and New G(iii). You will need to upload 2 documents here, as specified, and make a declaration that all turbines forming part of the relevant CfD Unit are sit uated in offshore waters of at least 45 metres depth. These conditions are referenced in the <u>CfD (Miscellaneous Amendments) Regulations 2021</u> – regulation 27A.

New G: Please provide a map showing scale, shape of CfD Unit and identify Longitude and Latitude (in WG S84 format to 3 decimal places) of Northerly, Easterly, Southerly and Westerly extreme coordinates of site where CfD Unit (Phase 1) is located (\*) Choose file No file chosen

New G(i): Please upload a colour-coded depth chart of the consented area to demonstrate that the CfD Unit will be situated in an area with water of sufficient depth to be eligible as FoW. As stated in CfD Allocation Regulations 2014 (Misc. Amendments) 2021 (\*)

New G(ii): It is a requirement that all turbines forming part of the relevant CFD unit are situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum). Please confirm all turbines of the relevant CfD Unit situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum). Please confirm all turbines of the relevant CfD Unit situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum). (\*)

By selecting the response "Yes" the Applicant is making the declaration above.

○ Yes ○ No

New G(iii): It is a requirement of Applicants to provide a declaration, signed by a Director, stating that the relevant CFD Unit will meet all the floating offshore wind conditions. Please upload a declaration, signed by a Director. As stated in CfD Allocation Regulations 2014 (Misc. Amendments) 2021 (\*) Choose file No file chosen

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### 3. CfD Unit details tab (6/7)

B12: Target Commissioning Date for Phase 1 Date must be in format DD/MM/YYYY (*)	NB: The Target Commissioning
	Date cannot be prior to the start
B13: Delivery Year for Phase 1 (*)	of the Target Commissioning
-	Window.
B14: Target Commissioning Window Start Date for Phase 1 (*)	
Must be set so that the Target Commissioning Date for Phase 1 falls within the Target Commissioning Window for Phase 1	
Date must be in format DD/MM/YYYY	
B15: Target Commissioning Window End Date for Phase 1 (*)	

B28: Reference price that applies to the CFD Unit (\*)

Baseload

#### 3. CfD Unit details tab (7/7)

#### B28: Reference price that applies to the CFD Unit (\*)

Intermittent

B31: Please provide whether your CfD Unit is Round 2 or Round 3/Scottish Territorial Waters? (\*)

B32: Please provide a copy of your Crown Estate Lease/Agreement for Lease in respect of the location of your CfD Unit (\*)

Browse...

NB: B31, B32, B32a - Only asked if answer to Question A9 = Offshore Wind

Upload a copy of your Crown Estate/Agreement for Lease for the OFTO as well as the CfD unit

#### B32a: Should your Crown Estate Lease/Agreement for Lease require any clarification, please provide it here

 $\checkmark$ 



#### 4. Cross subsidy check tab (1/3)

2. Application

Regulation 14 of the Contracts for Difference (Allocation) Regulations 2014 and Schedule 5 of the CfD <u>Allocation Framework AR4 2021</u> specify that an Applicant is excluded from applying for a CfD if they are in receipt of the subsidies listed in section 2.1.2 below.

See section 2.1.2 for further information about the cross subsidy check

The Delivery Body will check that the name, postcode, geographic coordinates, and/or the Ordnance Survey Grid Reference of the CfD Unit specified is not a site where an accreditation applies (except where separate metering arrangements mean it is not an excluded application).

Sealed Bids


#### 4. Cross subsidy check tab (2/3)

C1: Is the CfD Unit accredited in the Renewables Obligation or has a current application for accreditation with Ofgem? (\*) By selecting "Yes" the Applicant is making the declaration that a renewables obligation "accreditation" or an application for "accreditation", which has not yet been determined, applies or has applied to the relevant CfD Unit.

By selecting "No" the Applicant is making the declaration that neither a renewables obligation "accreditation" nor an application for "accreditation", which has not yet been determined, applies or has applied to the relevant CfD Unit.

Applicants selecting "Yes" will be identified as Dual Scheme Plant and will be asked to identify the Type of Dual Scheme Plant







#### 4. Cross subsidy check tab (3/3)

C6, C7, C8 – These declarations are confirming if the Applicant is or is not in receipt of other Government subsidies as specified in Schedule 5 of the <u>CfD Allocation Framework AR4 2021</u>.

#### C6: Have you ever received or are you receiving funding under the non fossil fuel orders? (\*)

By selecting the response "No" the Applicant is making the declaration that the CfD Unit has not received nor receiving funding under the non fossil fuel orders managed by the NFPA.

#### $\bigcirc$ Yes $\bigcirc$ No

#### C7: Is the CfD Unit already in receipt of a CfD or Investment Contract? (\*)

By selecting the response "No" the Applicant is making the declaration that the CfD Unit does not have an existing CfD or Investment Contract

#### $\bigcirc\,{\rm Yes}\,\bigcirc\,{\rm No}$

#### C8: Is the CfD unit already in receipt of a Capacity Agreement or has a current application to the Capacity Market that has not yet been determined? (\*)

By selecting the response "No" the Applicant is making the declaration that the CfD Unit does not have an existing Capacity Agreement or a current application to the Capacity Market

#### $\bigcirc$ Yes $\bigcirc$ No

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#### 5. Applicable planning consents tab

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As part of normal project construction and operation, a generation project may require several planning consents both for the generation facility and to connect to a Transmission or Distribution Network. Only certain types of consents are relevant for CfD Qualification; these are known as 'Applicable Planning Consents'. Below are some pertinent questions to help you decide which 'Applicable Planning Consents' you may need to provide with your CfD Application.

What planning consents do I need for the CfD Unit and to get power to the Transmission/ Distribution/Private Wire Network?

- 2 Which are Applicable Planning Consents as defined in the Allocation Regulations?
- **3** Which of the above relate to works to which both a Connection Agreement applies and those works are undertaken by a person other than the Applicant?
- **4** Planning Decision Notice for each Applicable Planning Consent where works undertaken by Applicant.

Declare that Applicable Planning Consents provided apply to the CfD Unit and cover works for the CfD Unit to get power to the Transmission/Distribution/Private Wire Network (or the relevant network operator are obtaining such consents on Applicant's behalf and this is covered in Connection Agreement(s)).

## 1.2 Application (generic)

5. Applicable planning consents – Qualification

**Applicants** 

Applicant to provide the relevant Planning Decision Notice for each Applicable Planning Consent that applies

\*If the expiry date is prior to the date of CfD Application, then provide appropriately signed and dated supporting evidence to clarify that the expiry date is extended to the date after date of CfD Application.

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The Delivery Body checks:

Location & technology consistency

MW in planning decision notice >= capacity applied for

Planning Decision Notice has not expired at the date of the CfD Application\*



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### 1.2 Application (generic)

5. Applicable planning consents – Qualification

Evidence requirements:



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#### 5. Applicable planning consents tab



NB. Within the Planning Consents tab, open each Planning Consent that is applicable to you by pressing the '+' symbol to expand the questions

#### D 41 is available for all planning types.

D41: Confirm that the applicable planning consents provided apply to the CfD Unit and cover the works to allow the CfD Unit to supply electricity to the transmission, distribution or private wire network (or the DNO/TNO are obtaining such consents on their behalf and this is covered in connection agreement(s))

Applicable Planning Consents are limited to those identified in Allocation Regulation 24

By selecting the response 'Yes' the Applicant is making a declaration of compliance with Allocation Regulation 23 (\*) O Yes O No



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#### 5. Applicable planning consents tab

The applicable planning consents tab is split into five sections. Select the buttons below to see the system view for each applicable planning consent.



NB: copies of all applicable planning consent(s), should include a signed and dated Planning Decision Notice, where relevant. If the Planning Consent has expired, evidence of authorised extension must be provided. Where planning consents specify a technology, if that technology differs to the technology on the Application form, clarification evidence must be provided.



5. Applicable planning consents tab – Development Order (1/6)

- Development Order

D1: Is a Development Order one of your applicable planning consents? This is the Development Consent Order under section 114 of Planning Act (\*)

D2: Provide reason why Development Order is not required for your CfD Unit (\*)

If 'No' is selected in D1, the field D2 will appear asking for the reasons for your answer.

See the next slide for the questions that appear if 'Yes' is selected in the D1 field.





#### 5. Applicable planning consents tab – Development Order (2/6)

D1a: It is a requirement of Applicants to provide a copy of their Development Order. Please upload a copy of your Development Order (\*)
Browse...

D1b: Should your Development Order require any clarification, please provide it here

If 'Yes' is selected in field D1, these questions will appear.

D3: Where available, provide Ordnance Survey Map Reference from your Development Order

D3a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D3b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D3c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application

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#### 5. Applicable planning consents tab – Development Order (3/6)

D4a: Where available, provide Postcode from your Development Order	field D1, these questions will appear.
D4b: Where available, indicate the page number of the document where the postcode can be found	
D4c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application	

If 'Yes' is selected in

D5: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Development Order

5. Applicable planning consents tab – Development Order (4/6)

D5a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Development Order

If 'Yes' is selected in field D1, these questions will appear.

D5b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Development Order

D5c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Development Order

D5d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D5e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D5f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

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If 'Yes' is selected in

#### 5. Applicable planning consents tab – Development Order (5/6)

D6: Where available, provide the capacity in MW from your Development Order D6a: Where available, indicate the reference of the document where the capacity in MW can be found	field D1, these questio appear.	ns will
D6b: Where available, indicate the page number of the document where the capacity in MW can be found D6c: Provide any further comments on the Development Order, which will help us validate the capacity in MW of your CfD Application		
D7: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Development Order D7a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found		

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#### 5. Applicable planning consents tab – Development Order (6/6)

D8: Where available, provide technology type from your Development Order

D7b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D7c: Provide any further comments on the Development Order, which will help us confirm that it has not expired

If 'Yes' is selected in field D1, these questions will appear.

- D8a: Where available, indicate the reference of the document where the technology type can be found

D8b: Where available, indicate the page number of the document where the technology type can be found

D8c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application





5. Applicable planning consents tab – Transport and Works Act Order (1/4) only applicable to offshore wind in Welsh waters.

#### Applicable planning consents

+ Development Order

- Transport and Works Act Order

D9: Is a Transport and Works Act Order one of your applicable planning consents? This is the Order under section 3 of the Transport and Works Act 1992 (\*)

○ Yes ○ No

<ul> <li>Transport and Works Act Order</li> </ul>
D9: Is a Transport and Works Act Order one of your applicable planning consents? This is the Order un
section 3 of the Transport and Works Act 1992 (*)
○ Yes  ● No
D10: Provide reason why Transport and Works Act Order is not required for your CfD Unit (*)





## 5. Applicable planning consents tab – Transport and Works Act Order (2/4) only applicable to offshore wind in Welsh waters

Transport and Works Act Order

D9: Is a Transport and Works Act Order one of your applicable planning consents? This is the Order under section 3 of the Transport and Works Act 1992 (\*)

Yes O No

D9a: It is a requirement of Applicants to provide a copy of their Transport and Works Act Order. Please upload a copy of your Transport and Works Act Order (\*)

Browse...

D9b: Should your Transport and Works Act Order require any clarification, please provide it here

If D9 Yes is selected D9a – D16c will appear. Click <u>here</u> for an example of locational data

D11: Where available, provide Ordnance Survey Map Reference from your Transport and Works Act Order	D12: Where available, provide Postcode from your Transport and Works Act Order
Date: Where enviloping indicate the reference of the document where the Ordennes Summer Man Deference	
Drha: where available, indicate the reference of the document where the Ordnance Survey map Reference	D12a: Where available, indicate the reference of the document where the postcode can be found
D11b: Where available, indicate the page number of the document where the Ordnance Survey Man Refere	
	D12b: Where available, indicate the page number of the document where the postcode can be found
D11c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identif CfD Application	D12c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

 

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# 5. Applicable planning consents tab – Transport and Works Act Order (3/4) only applicable to offshore wind in Welsh waters

D13: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Transport and Works Act Order	If D9 Yes is selected D9a – D16c will appear. Click <u>here</u> for an example of locational data
D13a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Transport and Works Act Order	D13f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application
D13b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Transport and Works Act Order	D14: Where available, provide the capacity in MW from your Transport and Works Act Order
D13c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Transport and Works Act Order D13d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found D13e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found	D14a: Where available, indicate the reference of the document where the capacity in MW can be found D14b: Where available, indicate the page number of the document where the capacity in MW can be found D14c: Provide any further comments on the Transport and Works Act Order, which will help us validate the capacity in MW of your CfD Application

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# 5. Applicable planning consents tab – Transport and Works Act Order (4/4) only applicable to offshore wind in Welsh waters

D15: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in you and Works Act Order D15a: Where available, indicate the reference of the document where the date by when the applicable planning consent found	If D9 Yes is selected D9a – D16c will appear. Click <u>here</u> for an example of locational data
D15b: Where available, indicate the page number of the document where the date by when the applicable planning consider found D15c: Provide any further comments on the Transport and Works Act Order, which will help us confirm that it has not e	sents expire can xpired
D16: Where available, provide technology type from your Transport and Works Act Order - D16a: Where available, indicate the reference of the document where the technology type can be found D16b: Where available, indicate the page number of the document where the technology type can be found	D16c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

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5. Applicable planning consents tab – Planning permission (1/6)

Planning permission
 D17: Is a Planning Permission one of your applicable planning consents?
 In England and Wales this is permission under Part 3 of the Town and Country Planning Act 1990.
 In Scotland this is permission under Part 3 of the Town and Country Planning (Scotland) Act 1997. (\*)
 O Yes 

 No
 D18: Provide reason why Planning Permission is not required for your CfD Unit (\*)
 If 'No' is selected in D17, the field D18 will appear.

See the next slide for the questions that appear if 'Yes' is selected in the D17 field.





#### 5. Applicable planning consents tab – Planning permission (2/6)

D17a: It is a requirement of Applicants to provide a copy of their Planning Permission. Please upload a copy of your Planning Permission. (\*) Browse...

D17b: Should your Planning Permission require any clarification, please provide it here

D19: Where available, provide Ordnance Survey Map Reference from your Planning Permission

D19a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D19b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D19c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application



#### 5. Applicable planning consents tab – Planning permission (3/6)

D20: Where ava	ailable, provide Postcode	from your Planning Pern	nission			
D20a: Where a	vailable, indicate the refe	ence of the document w	here the postcode c	an be found		
D20b: Where a	vailable, indicate the pag	number of the docume	nt where the postco	de can be found		
D20c: Provide :	any further comments on	your Postcode which wi	ll help us to identify	this as the location of	your CfD Application	

D21: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Planning Permission

D21a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Planning Permission

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#### 5. Applicable planning consents tab – Planning permission (4/6)

D21c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Planning Permission

D21d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D21e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D21f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

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#### 5. Applicable planning consents tab – Planning permission (5/6)

D22: Where available, provide the capacity in MW from your Planning Permission	
D22a: Where available, indicate the reference of the document where the capacity in MW can be found	
D22b: Where available, indicate the page number of the document where the capacity in MW can be found	
D22c: Provide any further comments on the Planning Permission, which will help us validate the capacity in MW of your CfD Application	

D23: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Planning Permission

D23a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

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#### 5. Applicable planning consents tab – Planning permission (6/6)

D23b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D23c: Provide any further comments on the Planning Permission, which will help us confirm that it has not expired

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D24: Where available, provide technology type from your Planning Permission

D24a: Where available, indicate the reference of the document where the technology type can be found

D24b: Where available, indicate the page number of the document where the technology type can be found

D24c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

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5. Applicable planning consents tab – Section 36 consent (1/6)

- Section 36

D25: Is a Section 36 one of your applicable planning consents? This is Consent under section 36 of the Electricity Act 1989 (\*)

OYes ® No ←

D26: Provide reason why Section 36 is not required for your CfD Unit (\*)

If 'No' is selected in D25, the field D26 will appear.

See the next slide for the questions that appear if 'Yes' is selected in the D25 field.



#### 5. Applicable planning consents tab – Section 36 consent (2/6)

D25a: It is a requirement of Applicants to provide a copy of their Section 36. Please upload a copy of your Section 36. (\*)

Browse...

D25b: Should your Section 36 require any clarification, please provide it here

D27: Where available, provide Ordnance Survey Map Reference from your Section 36

D27a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D27b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D27c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application



#### 5. Applicable planning consents tab – Section 36 consent (3/6)

D28: Where available, provide Postcode from your Section 36
D28a: Where available, indicate the reference of the document where the postcode can be found
D28b: Where available, indicate the page number of the document where the postcode can be found
D28c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

D29: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Section 36

D29a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Section 36



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5. Applicable planning consents tab – Section 36 consent(4/6)

D29b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Section 36

D29c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Section 36

D29d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D29e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D29f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

#### 5. Applicable planning consents tab – Section 36 consent (5/6)

D30: Where available, provide the capacity in MW from your Section 36
D30a: Where available, indicate the reference of the document where the capacity in MW can be found
D30b: Where available, indicate the page number of the document where the capacity in MW can be found
D30c: Provide any further comments on the Section 36, which will help us validate the capacity in MW of your CfD Application
D31: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Section 36
D31a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

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#### 5. Applicable planning consents tab – Section 36 consent(6/6)

D31b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D31c: Provide any further comments on the Section 36, which will help us confirm that it has not expired

D32: Where available, provide technology type from your Section 36

D32a: Where available, indicate the reference of the document where the technology type can be found

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D32b: Where available, indicate the page number of the document where the technology type can be found

D32c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

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5. Applicable planning consents tab – Marine Licence (1/6)

- Marine Licence

D33: Is a Marine Licence one of your applicable planning consents?

This is Under Part 4 of the Marine and Coastal Act 2009 or in Scottish marine area (section 1 of the Marine (Scotland) Act 2010) Part 4 of the Marine (Scotland) Act 2010 (\*)  $\bigcirc$  Yes O No

D34: Provide reason why Marine Licence is not required for your CfD Unit (\*)

If 'No' is selected in D25, the field D26 will appear.

See the next slide for the questions that appear if 'Yes' is selected in the D25 field.





#### 5. Applicable planning consents tab – Marine Licence (2/6)

D33a: It is a requirement of Applicants to provide a copy of their Marine Licence. Please upload a copy of your Marine Licence. (\*)

Browse...

D33b: Should your Marine Licence require any clarification, please provide it here

D35: Where available, provide Ordnance Survey Map Reference from your Marine Licence

D35a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D35b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D35c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application

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#### 5. Applicable planning consents tab – Marine Licence (3/6)

D36: Where available, provide Postcode from your Marine Licence

D36a: Where available, indicate the reference of the document where the postcode can be found

D36b: Where available, indicate the page number of the document where the postcode can be found

D36c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

D37: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Marine Licence

D37a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Marine Licence

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#### 5. Applicable planning consents tab – Marine Licence (4/6)

D37b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Marine Licence

D37c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Marine Licence

D37d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D37e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D37f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

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#### 5. Applicable planning consents tab – Marine Licence (5/6)

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D38: Where available, provide the capacity in MW from your Marine Licence
D38a: Where available, indicate the reference of the document where the capacity in MW can be found
D38b: Where available, indicate the page number of the document where the capacity in MW can be found
D38c: Provide any further comments on the Marine Licence, which will help us validate the capacity in MW of your CfD Application
D39: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Marine Licence
D39a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

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#### 5. Applicable planning consents tab – Marine Licence (6/6)

D39b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found
D39c: Provide any further comments on the Marine Licence, which will help us confirm that it has not expired
D40: Where available, provide technology type from your Marine Licence - D40a: Where available, indicate the reference of the document where the technology type can be found
D40b: Where available, indicate the page number of the document where the technology type can be found
D40c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application



#### 6. Connection Agreement(s) tab

There are three types of connection that can be selected in the Connection Agreement tab: **Direct Connection**, **Partial Connection** and **Islanded CfD Unit**. ("Islanded CfD Unit" is a historic term for Private Wire network. It does not refer to RIW)

Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this. Where the company name on the connection agreement differs to that in the application, evidence must be provided to clarify this.

Select the buttons below to see the system view for each connection type



NB. The relevant Connection Agreement provided must be signed and dated in order to be valid.


# 1.2 Application (generic)

6. Connection Agreement(s) – Direct Connection - Qualification





NB. The relevant Connection Agreement must be signed and dated in order to be valid.

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6. Connection Agreement(s) tab – Direct Connection

# E1: Type of Connection (\*) Confirm type of connection that applies to the CfD Unit? Direct E2: Confirm if transmission or distribution connection (\*) Direct & Transmission Direct & Transmission Direct & Transmission

NB. where there is a difference in the name on the connection agreement and application, clarificatory evidence is required.

 

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6. Connection Agreement(s) tab – Direct Connection

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NB. E3, E4 and E5 will appear for Offshore Wind Technology only.

### E3: Does single metering or apportioned metering apply for Phase 1? (\*)

Do you intend to treat phases as individual projects for the purposes of metering ('single metering') or use an apportionment methodology to assign net generation to each individual phase ('apportioned metering')

E4: Does single metering or apportioned metering apply for Phase 2? (\*)

E5: Does single metering or apportioned metering apply for Phase 3? (\*)



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6. Connection Agreement(s) tab – Direct & Transmission (1/4)

### E7: Please provide a copy of connection agreement(s)/countersigned offer(s) between Applicant and the Transmission System Operator. (\*)

Browse...

E16: Where available, provide location from your connection agreement(s)/countersigned offer(s)

E16a: Where available, indicate the reference of the document where the location can be found

E16b: Where available, indicate the page number of the document where the location can be found

E16c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application

NB. where there is a difference in the name on the connection agreement and application, clarificatory evidence is required.

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6. Connection Agreement(s) tab – Direct & Transmission (2/4)

E17: Where available, provide the Transmission Entry Capacity in MW from your connection agreement(s)/countersigned offer(s)

E17a: Where available, indicate the reference of the document where the Transmission Entry Capacity in MW can be found

E17b: Where available, indicate the page number of the document where the Transmission Entry Capacity in MW can be found

E17c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Transmission Entry Capacity in MW of your CfD Application





### 6. Connection Agreement(s) tab – Direct & Transmission (3/4)

E18: Where available, provide the Connection Date (in format DD/MM/YYYY) from your connection agreement(s)/countersigned offer(s)
E18a: Where available, indicate the reference of the document where the Connection Date can be found
E18b: Where available, indicate the page number of the document where the Connection Date can be found
E18c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Connection Date of your CfD Application





### 6.Connection Agreement(s) tab – Direct & Transmission (4/4)

E19: Where available, provide technology type from your connecti	on agreement(s)/countersigned offer(s)
-	
E19a: Where available, indicate the reference of the document whe	ere the technology type can be found
E19b: Where available, indicate the page number of the document	where the technology type can be found
E19c: Provide any further comments on your technology type whi	ch will help us to identify this as the technology in your CfD Application





### 6.Connection Agreement(s) tab – Direct & Distribution (1/4)

-

NB: E6 is only asked if Direct Distribution is selected.

E6: Are you intending to be 'licence exempt embedded' or 'licence connected'? (Please refer to definitions in Schedule 1 of the Allocation Framework) (\*)

E8: Please provide a copy of connection agreement(s)/countersigned offer(s) between Applicant and the Distribution Network Operator. (\*)
Browse...

E20: Where available, provide location from your connection agreement(s)/countersigned offer(s)

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E20a: Where available, indicate the reference of the document where the location can be found

E20b: Where available, indicate the page number of the document where the location can be found

E20c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application

NB. where there is a difference in the name on the connection agreement and application, clarificatory evidence is required.

 

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6.Connection Agreement(s) tab – Direct & Distribution (2/4)

E21: Where available, provide the Capacity in MW from your connection agreement(s)/countersigned offer(s)

E21a: Where available, indicate the reference of the document where the Capacity in MW can be found

E21b: Where available, indicate the page number of the document where the Capacity in MW can be found

E21c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Capacity in MW of your CfD Application

### 6.Connection Agreement(s) tab – Direct & Distribution (3/4)

E22: Where available, provide the Connection Date (in format DD/MM/YYYY) from your connection agreement(s)/countersigned offer(s)

E22a: Where available, indicate the reference of the document where the Connection Date can be found

E22b: Where available, indicate the page number of the document where the Connection Date can be found

E22c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Connection Date of your CfD Application



### 6. Connection Agreement(s) tab – Direct & Distribution (4/4)

E23: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)
-
E23a: Where available, indicate the reference of the document where the technology type can be found
E23b: Where available, indicate the page number of the document where the technology type can be found
E23c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application





6. Connection Agreement(s) – Partial Connection Qualification



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6. Connection Agreement(s) tab – Partial Connection

Select the buttons below to see the system view for each connection type:



E9: Are you or will you be the operator of Private Wire network to which the CfD Unit exports or is to export electricity? (\*) O Yes O No

E9: Yes, the operator of the Private Wire Network E9: No, not the operator of the Private Wire Network

NB. where there is a difference in the name on the connection agreement and application, clarificatory evidence is required.





### 6. Connection Agreement(s) tab – Partial & Yes to E9 – operator of Private Wire Network (1/2)

E10: Please provide a copy of connection agreement(s)/countersigned offer(s) between Applicant and the Transmission System Operator or Relevant Distribution Network Operator. (\*)

Browse ...

E24: Where available, provide location from your connection agreement(s)/countersigned offer(s)

E24a: Where available, indicate the reference of the document where the location can be found

E24b: Where available, indicate the page number of the document where the location can be found

E24c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application

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### 6. Connection Agreement(s) tab – Partial & Yes to E9 – operator of Private Wire Network (2/2)

E25: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)					
-					
E25a: Where available, indicate the reference of the document where the technology type can be found					
E25b: Where available, indicate the page number of the document where the technology type can be found					
E25c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application					

NB. Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this.





### 6. Connection Agreement(s) tab - Partial & No to E9 - I am not the operator of Private Wire Network (1/4)

E11: Please provide a copy of connection agreement(s)/countersigned offer(s) between Operator of Private Wire Network and Transmission System Operator or Relevant Distribution Network Operator. (\*)

Browse...

Browse...

E12: Please provide a copy of the Private Network Use Agreement between Applicant and Operator of Private Wire Network. (\*)

E26: Where available, provide location from your connection agreement(s)/countersigned offer(s)
E26a: Where available, indicate the reference of the document where the location can be found
E26b: Where available, indicate the page number of the document where the location can be found
E26c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application



### 6. Connection Agreement(s) tab – Partial & No to E9 – I am not the operator of Private Wire Network (2/4)

E27: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)

 $\checkmark$ 

E27a: Where available, indicate the reference of the document where the technology type can be found

E27b: Where available, indicate the page number of the document where the technology type can be found

E27c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

NB. Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this.





<u>6. Connection Agreement(s) tab – Partial & No to E9 – I am not the operator of Private Wire Network</u> (3/4)

E28a: Where available, indicate the reference of the document where the location can be found

E28b: Where available, indicate the page number of the document where the location can be found

E28c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application





6. Connection Agreement(s) tab – Partial & No to E9 - I am not the operator of Private Wire Network (4/4)

E29a: Where available, indicate the reference of the document where the technology type can be found  E29b: Where available, indicate the page number of the document where the technology type can be found  E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application	E29: Where available, provide technology type from your Private Network Use Agreement				
E29a: Where available, indicate the reference of the document where the technology type can be found E29b: Where available, indicate the page number of the document where the technology type can be found E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application	-				
E29b: Where available, indicate the page number of the document where the technology type can be found E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application	E29a: Where available, indicate the reference of the document where the technology type can be found				
E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application	E29b: Where available, indicate the page number of the document where the technology type can be found				
E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application					
	E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application				

6. Connection Agreement(s) – Private Network CfD Unit - Qualification



\* The owner of the CfD unit and operator of Private Network can be the same entity





### 6. Connection Agreement(s) tab – Private Network CfD Unit (1/4)

NB E1. will refer to "Islanded CfD Unit" this is a historic term and has the same meaning as Private Wire network.



NB. where there is a difference in the name on the connection agreement and application, evidence must be provided to clarify this.





### 6. Connection Agreement(s) tab – Private Network CfD Unit (2/4)

lf

No' is selected in E9, the following fields will appear.				
E1: Type of Connection (*) Confirm type of connection that applies to the CfD Unit?			NB: Remote Island Wind Applicants do	
Islanded CfD Unit	~		of Islanded CfD unit.	

E9: Are you or will you be the operator of Private Wire network to which the CfD Unit exports or is to export electricity? (\*) O Yes 
No

E12: Please provide a copy of the Private Network Use Agreement between Applicant and Operator of Private Wire Network. (\*)
Browse...

E13: Where an Islanded CfD Unit, please confirm that no Direct connection or Partial connection currently or will exist in the future (\*)

By selecting the response "Yes" the Applicant is making this declaration NB O Yes O No

NB E13 is not available to Remote Island Wind Applicants.



6. Connection Agreement(s) tab – Private Network CfD Unit (3/4)

The following fields only appears if 'No' is selected in E9:

E28: Where available, provide location from your Private Network Use Agreement

E28a: Where available, indicate the reference of the document where the location can be found

E28b: Where available, indicate the page number of the document where the location can be found

E28c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application



6. Connection Agreement(s) tab – Private Network CfD Unit (4/4)

The following fields only appears if 'No' is selected in E9:
E29: Where available, provide technology type from your Private Network Use Agreement
E29a: Where available, indicate the reference of the document where the technology type can be found
E29b: Where available, indicate the page number of the document where the technology type can be found
E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

NB: Where the connection agreement specifies a technology, which is different from the technology that the application, evidence must be provided to clarify this.

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6. Connection agreement Checklist - Qualification

### Acceptable evidence

Countersigned agreement with Transmission System Operator

Distribution Offer signed by Distribution Network Operator (DNO) and Generator's signed offer acceptance

Evidence of Distribution Offer from DNO and Generator's signed offer acceptance

### Unacceptable evidence



Unsigned Distribution Offer acceptance by Generator



No evidence of Distribution Offer from DNO

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### 7. CfD Contract tab (1/2)

# F1: Which CfD Agreement are you entering into? (\*) Generic Private Wire Phased (Single metering) Phased (Apportioned metering) Unincorporated Joint Venture Agreement

### F2: Have you agreed to use the Standard Terms or agreed a Modification Agreement with the LCCC? (\*)

Standard Terms Modification Agreement Select 'Standard Terms' or 'Modification Agreement' from the dropdown menu. See the next slide for the fields that appear for each option.



7. CfD Contract tab – Standard Terms or Modification Agreement (2/2)

Standard Terms	$\checkmark$
Bease provide version n	ber of Standard Terms (*)

F2: Have you agreed to use the Standard Terms or agreed a Modification Agreement with the LCCC? (\*)

			-		
t D	Modification Agreement	$\checkmark$			
ficatic emen	F4: Please provide reference number for	Modification Agreement (*	)		
Modit Agree	F5: Please provide date that Modification	n Agreement was agreed wi	ith LCCC (*)		
Pre-Application	2 Application 3 Qualification 4 Pay	iour 5 Anneals 6	Valuation 7 Sealed Bids	8 Allocation 8	latification



8. Supply Chain Plan tab (for projects of 300MW or above)

Browse ...

If the capacity entered in the CfD Unit Details Tab requires you to have a supply chain plan certificate, then G1 will appear as below.



If the CfD unit capacity does not require a certificate to be uploaded, then no questions will be visible.



### 9. Declaration tab (1/4)

H1: Each Applicant must declare that the Application is one to which an allocation process applies in the allocation round (\*) By selecting the response "Yes" the Applicant is making the declaration above. O Yes O No

H2: Each Applicant must declare that the Application is not an excluded application. (\*) By selecting the response "Yes" the Applicant is making the declaration above. O Yes O No

H3: Each Applicant must declare that the Application meets the general qualification requirements set out or referred to in Chapter 3 of the Allocation Regulations, as amended or modified by the Allocation Framework (\*) By selecting the response "Yes" the Applicant is making the declaration above. O Yes O No

H4: Each Applicant must declare that the Application, where applicable, meets the additional qualification requirements set out or referred to in Chapter 4 of the Allocation Regulations, as amended or modified by the Allocation Framework (\*) By selecting the response "Yes" the Applicant is making the declaration above.

### 9. Declaration tab (2/4)

H5: Each Applicant must declare that included in the form which comprises part of the Application is the information necessary to enable the "delivery body" to: make the determination under paragraph (1) of Regulation 17 of the Allocation Regulations; and give the "CFD notification" were the Application to be a "successful application", including information listed or referred to in Schedule 1 of the Allocation Regulations. (\*)

By selecting the response "Yes" the Applicant is making the declaration above.

○ Yes ○ No

H6: Each Applicant must declare that in all material respects, all information provided with or in the Application is true and correct (and to the extent that a copy of a document has been provided, that it is a true and correct copy). (\*)

By selecting the response "Yes" the Applicant is making the declaration above.

⊖ Yes ○ No

H7 : Please upload a process flow diagram demonstrating that the CfD Unit will meet the Physical Separation Requirement defined in the most recently published version of the CfD Standard Terms and Conditions. It should show that at all times the Synthesis Chamber and Combustion Chamber will be separated by a conduct or pipe:

a. which will be used for transporting the Advanced Fuel produced in the Synthesis Chamber to the Combustion Chamber;

b. which will include at least one connection that allows for sampling of the Advanced Fuel;

Customers c. within which no combustion will occur; and

d. which has an operating Compression Unit or Purification Unit within it or connected to it.

By uploading the diagram, the applicant confirms they intend to satisfy the Physical Separation Requirement defined in the most recently

published version of the CfD Standard Terms and Conditions. (\*)

Browse...

If your Technology Type is **ACT**, remember to upload a process flow diagram. <u>Click here for further information</u>.

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### 1.2 Application – system view



### 9. Declaration tab – Dedicated Biomass & Energy from Waste Applicants only (3/4)

H8 - Dedicated Biomass (i.e. those technologies which must deploy with CHP) must declare that they are aware of the requirement in CfD contract terms to accredit under the CHPQA standard and to deliver a valid CHPQA certificate to LCCC as and when required.

H6: Each Applicant must declare that in all material respects, all information provided with or in the Application is true and correct (and to the extent that a copy of a document has been provided, that it is a true and correct copy). (\*) By selecting the response "Yes" the Applicant is making the declaration above. O Yes O No

H8: Each Applicant must declare that they are aware that the CfD Agreement requires a valid CHPQA Certificate in respect of the project to be delivered to the CfD Counterparty as a 'Further Condition Precedent'. (\*) By selecting the response "Yes" the Applicant is making the declaration above.

○ Yes ○ No

 

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 6. Valuation
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# 1.2 Application – system view



### 9. Declaration tab – Floating Offshore Wind Applicants only (4/4)

H9 and H10 are new declarations for Allocation Round 4 and must be completed if you are applying for a Floating Offshore Wind CfD Unit.

H9: It is a requirement that the relevant floating offshore wind CFD unit is not a phased offshore wind CFD unit (or any other offshore wind CFD unit that is to be established or altered in phases of construction). Please confirm that the relevant floating offshore wind CFD unit is not a phased offshore wind CFD unit (or any other offshore wind CFD unit that is to be established or altered in phases of construction). (\*) By selecting the response "Yes" the Applicant is making the declaration above.  $\bigcirc$  Yes  $\bigcirc$  No

H10: It is a requirement that all turbines forming part of the relevant CFD unit are mounted on floating foundations. Please confirm all turbines of the relevant CfD Unit mounted on floating foundations. (\*)

By selecting the response "Yes" the Applicant is making the declaration above.

○ Yes ○ No



# 1.3 Application (generic) checklist



### Have you completed the following:

Name, address and contact details of Applicant, Agent (if non GB), entity to enter into CfD Contract, entity receiving notices under CfD Contract

Project name and location

Initial installed capacity estimate in Megawatts (MW to 2 decimal places) (Gross minus parasitic loads minus electrical losses)

Target Commissioning Date (TCD) and Target Commissioning Window Start Date (TCWSD)

Technology specific questions

Any other information that LCCC require for the CfD contract

### **Contract terms**

Identify type of CfD Contract and whether on standard or modified terms (+ for modifications, date entered into with LCCC)





### 1.4 Application – Additional Technology Information

The previous section covered the mandatory fields in the Application form for all technology types. This section contains additional information required for certain technologies.

Select from the buttons below to find out more.

1.4.1 Advanced Conversion Technologies (ACT)	1.4.2 Remote Island Wind (>5MW) (RIW)	1.4.3 Offshore Wind	1.4.4 Floating Offshore Wind
1. Pre-Application activities 2. Application	3. Qualification 4. Reviews	5. Appeals 6. Valuation 7. Sealed Bio	ds 8. Allocation 9. Notification



# 1.4.1 Advanced Conversion Technologies (ACT)

A generating station which generates electricity by the use of Advanced Fuel (gas or liquid formed by Gasification or Pyrolys is of Biomass or Waste).

### **Requirements**

For Advanced Conversion Technology (ACT), the Applicant must demonstrate that the CfD Unit is expected to comply with the Physical Separation Requirement (PSR) by submission of a process flow diagram in the application form.

Requiring the *synthesis* and *combustion* processes to be separated ensures clear distinction between ACT and less advanced processes that are closer to conventional boiler technologies.



# 1.4.1 Advanced Conversion Technologies (ACT)



### Documents



Compliance with the ACT Efficiency Standard criterion in the Contract for Difference scheme PDF, 322KB, 18 pages This file may not be suitable for users of assistive technology. <u>Request an</u> accessible format.



Further details on the specific requirements for the process flow diagram can be found in the <u>Allocation Framework</u> for AR4 in the Schedule 5 section.


# 1.4.2 Remote Island Wind (>5MW)



#### Allocation Regulation - 27A (3) sets out the conditions to qualify as Remote Island Wind:

(a) the CfD Unit generates electricity by the use of wind;
(b) the CfD Unit is located on a Remote Island;
(c) the CfD Unit is connected to the national Transmission System or to a Distribution System

*Note: RIW applications cannot be private wire only.* 

(d) Cabling Requirements:

#### **Transmission Connection**

The Generation Circuit between the **CfD Unit** and the Main Interconnected Transmission System (MITS) consists of 50 km or more of Cabling, of which there is a minimum of 20 km of Subsea Cabling.

#### **Distribution Connection**

The electrical connection between its **Grid Supply Point** and the Main Interconnected Transmission System (MITS) consists of 50 km or more of Cabling, of which there is a minimum of 20 km of Subsea Cabling.



### 1.4.2 Remote Island Wind (>5MW)

Template schematic diagrams for Remote Island Wind (RIW) CfD Units connecting to the national transmission system or to a distribution system, in the local government areas of Comhairlenan Eilean Siar, Orkney Islands Council, and Shetland Islands Council, are available on the BEIS website <u>here</u>.

Applicants should annotate the relevant diagram and submit it as part of their application to the Delivery Body to demonstrate that the project meets the condition in Regulation 27A(3)(d) of the Contracts for Difference (Allocation) Regulations 2014, as set out in Schedule 5 of the Allocation Framework.

The eligibility requirements for RIW are geographically neutral and applications from any geographical location will be considered. RIW applicants from other island groups will find the templates usefully illustrative of the information that the schematic diagram must provide.



#### 1.4.2 Remote Island Wind (>5MW)



#### Schematic Diagram Sample:



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### 1.4.2 Remote Island Wind checklist

#### Have you completed the following:

Included a copy of your schematic diagram showing the cable distances and CfD Unit name as stated on your application form.

Check that your diagram provides the evidence for demonstrating that the condition in Regulation 27A(3) (d) will be met, as set out in Schedule 5 of the Allocation Framework.





### 1.4.3 Offshore Wind

Offshore Wind is the only technology that can have more than 1 phase. A maximum of three phases may be chosen. This needs to be confirmed at A10 on the General Tab of the CfD Application form.

#### A9: Technology Type (\*)

Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

Offshore Wind	$\checkmark$
A10: Number of CfD Phases (*)	
1 2	
3	

The CfD Allocation Framework for Round 4, sets out the supplemental requirements for phased offshore wind. In summary these are:

- After all phases are completed, the CfD Unit will have a capacity of no greater than 1500 MW;
- the first phase must represent at least 25% of the total capacity of the CfD Unit after all phases are completed;
- the first phase is targeted to complete by a date no later than 31st March 2027 (subject to any changes to the Target Commissioning Date under Regulation 34 as a result of delays to the Allocation Process);
- the Target Commissioning Date of the final phase is no later than 2 years after the Target Commissioning Date of the first phase.



# 1.4.4 Floating Offshore Wind

A floating offshore wind CfD unit is an offshore wind CfD unit that satisfies the floating offshore wind conditions (detailed below), pursuant to Regulation 27ZA, Contracts for Difference (Miscellaneous Amendments) Regulations 2021.

The applicant must demonstrate (in addition to the matter referred to in regulation 27(2))\* that the relevant CfD unit is expected, by the target commissioning date, to satisfy the floating offshore wind conditions

#### **Floating Offshore Wind Conditions**

- (a) the relevant CfD Unit is not a Phased Offshore Wind CfD Unit (or any other CfD Unit that is to be established or altered in phases of construction);
- (b) all turbines forming part of the relevant CfD Unit -

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- (i) are mounted on floating foundations; and
- (ii) are situated in offshore waters of at least 45 metres depth (measured from the seabed to chart datum).

\*27(2) The applicant must demonstrate that a lease or an agreement for lease has been granted by the Crown Estate in respect of the location of the relevant CFD unit.)

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# 1.4.4 Floating Offshore Wind



#### **Additional Documents**

As part of their Application, Applicants must also provide:

- 1. information in the Application indicating where the applicable planning consent(s) state(s) that the consent granted is for floating foundations;
- 2. a colour-coded depth chart of the consented area with the project area boundary clearly marked, showing the different depths of the water; and
- 3. a declaration from the Applicant signed by a Director, that the relevant CfD Unit will meet all the Floating Offshore Wind conditions.

#### The depth chart must:

- Show the depths of the water, clearly delineated by colour;
- Show the boundary of the consented area, clearly labelled;
- Show the boundary of the project area, clearly labelled;
- Show turbine locations clearly marked and situated in areas of water of at least 45 metres depth.





### 1.5 Application next steps

Once the Application Window has closed, the Qualification Assessment process begins (<u>see Section 2. Qualification</u>). The Delivery Body will then determine whether an Application qualifies to take part in the Allocation Process.

The Delivery Body will determine Qualification based on the information provided by the Applicant and in accordance with the requirements of the Eligibility Regulations, Allocation Regulations and the Round specific Allocation Framework. The Allocation Framework provides a list of the checks that the Delivery Body must carry out when assessing Applications – see Schedule 5.

Failure to meet any of the Qualification Criteria and any additional information requirements will result in the Delivery Body making a nonqualification determination.

The subsequent Non-Qualification Review and Qualification Appeal phases for Non-Qualifying Applicants **do not allow submission of additional evidence** that was not provided with the original Application.



### 2.0 Qualification



This section of the guidance outlines the requirements that Applicants need to adhere to in order to meet the Qualification criteria. It should be used in conjunction with <u>Section 1.</u> <u>Application</u>.

Select from the button opposite to find out more:

2.1 Key Qualification Criteria

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### 2.1 Key Qualification criteria



The key Qualification criteria of the Application can be seen below. Click on the relevant icons to find out more.

Connection Agreem (covered in the Applicatio	ents n Section)	Pla (covered	nning Decision Notice I in the Application Section)	
2.1.1 Supply Chain Approval Certificate if >=300MW	2.1.2 Cro Decl	oss-Subsidy arations	-Subsidy ations 2.1.3 Evidence of	
	2.1.4 Commiss Wi	4 Target sioning Date indow	Incorporation	
Application Form General Requirements (covered in the Application Section)				

1. Pre-Applicatio activities

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# 2.1.1 Supply Chain Approval



Projects of **300MW and above** will need to provide a copy of their Supply Chain Approval Certificate, as received from BEIS. For further details, refer to <u>1.2 Supply Chain Plan</u>.





## 2.1.2 Cross-Subsidy Declarations (1/2)



Regulation 14 (5), (9), (10) and (11) of the Contracts for Difference (Allocation) Regulations and the round specific Allocation Framework set out where an Applicant is excluded from applying for a CfD. The exemptions are in relation to the particular application rather than the applicant. All Applicants shall be asked to make the following declarations in the application process:

**Cross-Subsidy Declarations;** 

Not in receipt of Non Fossil Fuels Obligation (NFFO)

Not in receipt of Scottish Renewables Obligation (SRO)

Not in receipt of a capacity agreement or where an application for a capacity agreement has been made but not determined

Not in receipt of a CfD Agreement or Investment Contract

Not in receipt of Renewables Obligation (RO) subsidy

Not in receipt of an accreditation under the Renewable Heat Incentive Regulations 2011



#### 2.1.2 Cross-Subsidy Declarations (2/2)



#### **Applicants**

Declarations that the CfD Unit is not in receipt of subsidies for CM, CfD, NFFO, SRO or RHI (if Energy from Waste with CHP)

Declaration that CfD Unit not in receipt /in receipt\* of subsidies for RO (\**If in receipt, confirm type of dual scheme plant*)

# nationalgridESO

Check using locational parameters against public data whether CfD Unit already in CM, CfD, NFFO or SRO

Ofgem confirm status in RO – if Ofgem are unsure then DB rely on declaration

Rely on declaration for RHI

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### 2.1.3 Evidence of Incorporation





### 2.1.4 Target Commissioning Date





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#### 3.0 Reviews



Non-Qualifying Applicants may give notice ('Review Notice') to the Delivery Body to request a Review of their Non-Qualification Determination where the Applicant believes that the Delivery Body has incorrectly applied the Rules.

Select from the buttons opposite to find out more:

4. Reviews

3.1 Review process overview

3.2 Review requirements



1. Pre-Applicati

plication 🔰 3. Qualifi

4. Reviews

**5.** Appeals

luation

#### 3.2 Non-qualification Review requirements

#### **Pursuant to Regulation 20, a Review Notice must contain:**

- A concise statement which identifies the relevant part of the non-qualification determination in dispute and sets out the facts on which the Applicant relies
- A summary of the ground(s) for disputing the non-qualification determination
- A succinct presentation of the arguments supporting each of the grounds for dispute
- A schedule listing the documents submitted with the review notice
- No new documentary evidence can be submitted but the Applicant can clarify original evidence





#### 4.0 Appeals

If a Non-qualification decision is upheld after Review, then the Applicant can raise an Appeal to Ofgem.

The Applicant must also notify the Delivery Body of their Appeal.

Select from the buttons opposite to find out more:

#### 4.1 Appeals process

4.2 Pending (Allocation during Appeals)

ofgem Appeals guidance

5. Appeals

7. Sealed Bids

# 4.1 Appeals process



Appeal to Authority	Applicant	Submission of Appeal to Ofgem and a copy to the Delivery Body		
		Up to 5 Working Days from receipt of Non-qualification Review Notice to submit an appeal to Ofgem		
	Ofgem	The Authority must determine a qualification appeal as soon as practicable		
		Allocation may proceed if there is a delay in receiving an Authority decision (see <u>4.2 Pending</u> )		
	Delivery Body	The Delivery Body may reply to a qualification appeal where it receives notice of an appeal from Ofgem		
		Notification to stakeholders that there are Appeals in progress		
		Delivery Body updates Appeals Register once CfD Notifications have been issued		
	Outcome	Ofgem can overturn or uphold the original decision and provide reasons for the Determination		
		Authority gives notice to the applicant and Delivery Body, stating its determination and the date of the determination.		
Appeal to High Court	Applicant	28 calendar days to appeal to High Court or Court of Session in Scotland, where Ofgem upholds the Delivery Body's decision		
	High Court	High Court could ask Ofgem to reconsider their decision or direct Delivery Body to reinstate as a Qualifying Applicant		

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#### 4.2 Pending Application (Allocation during Appeals)

Where, prior to the start of the Allocation Process, Ofgem has not notified the Delivery Body of the outcome of a Qualification Appeal that Application shall be considered a Pending Application.

Where specific notices are sent to Qualified Applicants (e.g. Auction Notice requiring Sealed Bids), Applicants undergoing Appeals (Pending Applications) will be sent the same notices with similar requests and response dates, where relevant.

Applicants undergoing Appeals (Pending Applications) shall be permitted to submit Sealed Bids alongside other Qualified Applicants (see separate guidance by the Delivery Body on Sealed Bids in the <u>CfD Allocation Guidance Document which can be found here</u>)

The Allocation Framework sets out several scenarios that govern how Pending Applications, which become Qualified Applications, must be considered for a CfD. See the Round specific Allocation Framework for further details.





#### Contact details and feedback

Your feedback is important to us. We are interested in your thoughts regarding this guidance document and how we can meet your customer requirements. So that we can learn more, please remember to fill out the feedback survey.



The CfD Delivery Partners are:



Department for Business, Energy & Industrial Strategy



national**gridESO** 

For support using this guide or for general enquiries please contact:

Phone: 01926655300

Email: emr.cfd@nationalgrideso.com



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